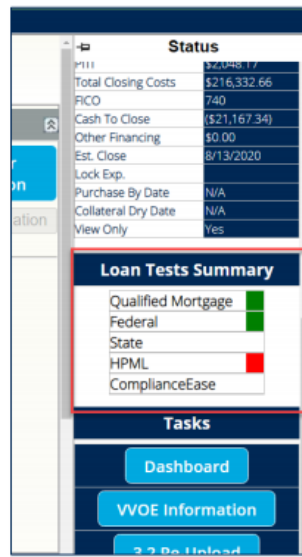


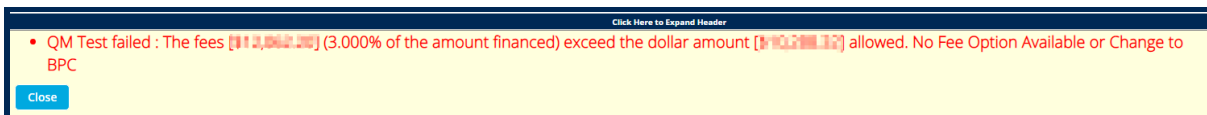
Purpose of this reference material: To highlight the Loan Tests Summary in LION and possible actions to correct Compliance issues at/prior to submission to NewRez.

The Loan Tests Summary shows indicators for various Compliance tests that are running throughout the loan process. It is located on the right-hand side of the loan, underneath the Loan Information section:



- **Green** means the loan test is passing (or that the test is failing, but has been overridden by the NewRez Compliance Team)
- **Red** means that the loan test is failing
- **White** means that the loan test does not apply or has not been run yet

How to proceed with you receive the below messaging when trying to price the loan in the Products and Pricing screen or the Rate Lock screen.



You have two options to choose from in order to proceed with pricing the loan.

1. Submit a (CIC) for No Fee Pricing
2. Submit a (CIC) to change to BPC

If you are having any difficulty understanding why any particular test is failing, please reach out to the Key Contact located in the bottom left corner of the loan. If no Key Contact is assigned, please reach out to your Account Executive and/or TPO Assist for further guidance.